

# How to Thwart Birthday Attacks against MACs via Small Randomness



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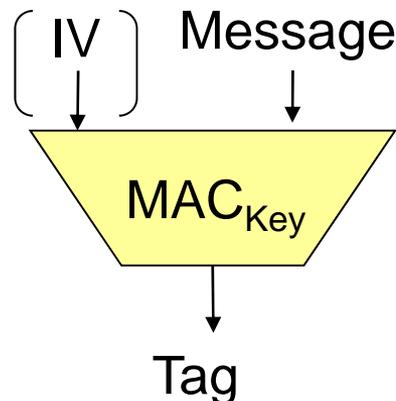
# Introduction

## ◆ Message Authentication Code (MAC)

- Use (Key, Message) to generate a fixed-length tag
- An auxiliary input, initial vector (IV) may exist

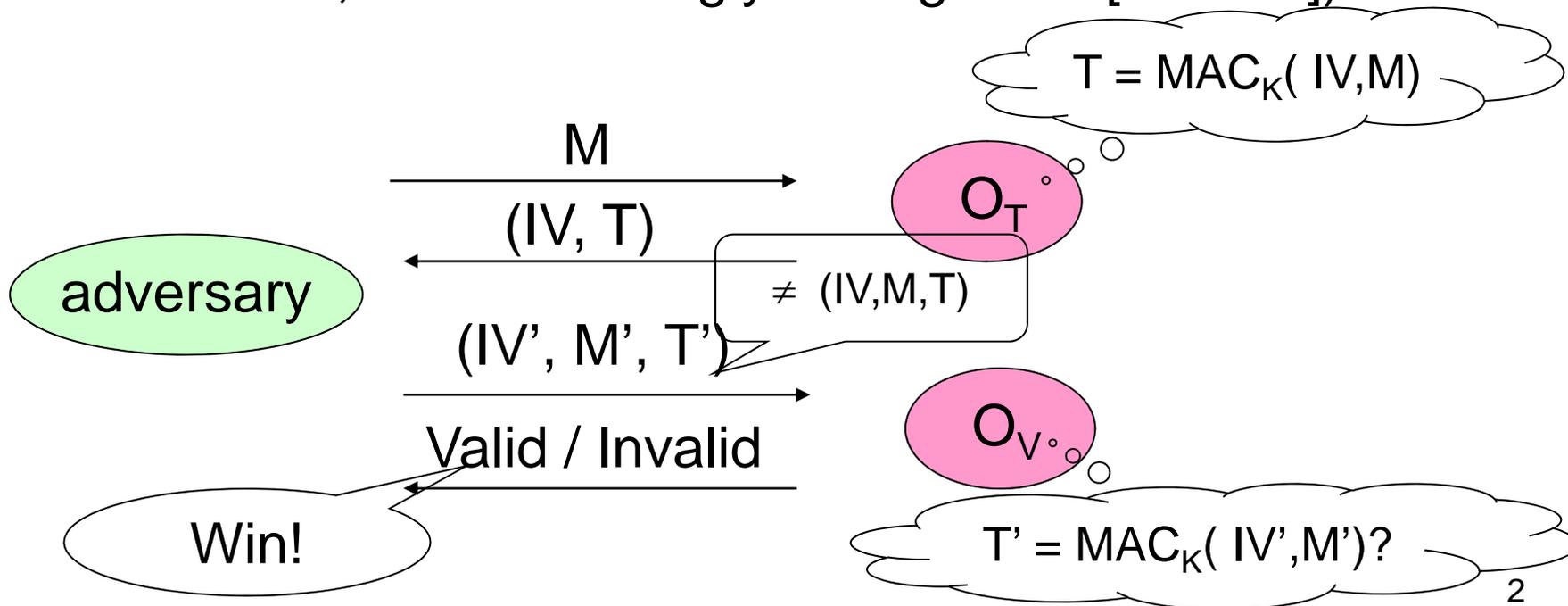
## ◆ Three classes

- No IV -> deterministic MAC
- IV is random -> randomized MAC
- IV is nonce -> stateful MAC



# Goal of adversary

- ◆ Two oracles :
  - Tagging oracle ( $O_T$ ) returns a tag (and IV) for a queried message
  - Verification oracle ( $O_V$ ) returns a verification result for a queried transcript
- ◆ Goal is to produce a forgery (a valid transcript made w/o querying it to  $O_T$ )
- ◆ If this is hard, MAC is strongly unforgeable [BGK99]



# Security measure

- ◆ Let adversary have  $q$  tagging queries and  $q_v$  verf. queries
  - with messages of length at most  $\ell$  (in  $n$ -bit blocks)
- ◆ Forgery probability (FP) is the maximum prob. of receiving “Valid” from  $O_V$ , denoted as

$$FP_{MAC}(q, q_v, \ell)$$

# Typical IV-based MAC : Hash-then-Mask (HtM)

◆  $T = H_{KH}(M) + F_{KE}(IV)$

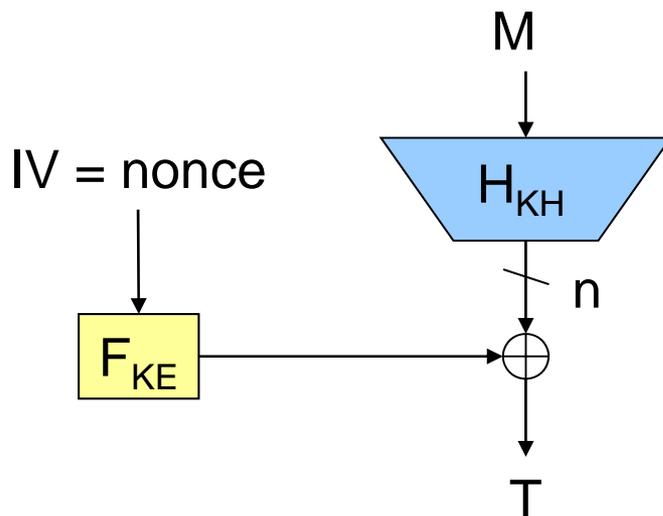
◆  $H_{KH}$  is  $\varepsilon$ -almost XOR universal ( $\varepsilon$ -AXU)

$$\max_{M_1 \neq M_2} \Pr[H_{KH}(M_1) \oplus H_{KH}(M_2) = y] \leq \varepsilon$$

- possibly defined w/ input-block length ( $\varepsilon(\ell)$ -AXU)

◆ Stateful HtM is highly secure :

$$\text{FP}_{\text{Stateful HtM}}(q, q_v, \ell) \leq \varepsilon(\ell) \cdot q_v$$



# Problem of being stateful

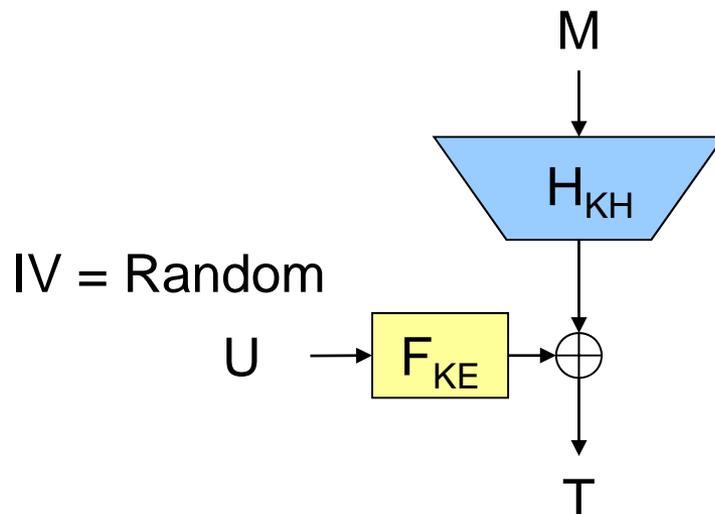
- ◆ Keeping state is difficult if (e.g.)
  - Same key is used by many distant devices
  - Key is in ROM and other non-volatile memory is not available

# A natural substitute: use randomness

- ◆ What will happen if IV is an **n-bit random value**?
- ◆ Then, the security degrades to

$$FP_{\text{randomized HtM}}(q, q_v, \ell) \leq \frac{q^2}{2^{n+1}} + \varepsilon(\ell) \cdot q_v$$

- ◆ as IVs may collide, which leaks the sum of hash values (total break in general)
- ◆ That is, we have a birthday attack w/  $q = 2^{n/2}$



$$T = H(M) + F(U)$$

$$T' = H(M') + F(U')$$

$$\text{if } U=U' \text{ then } T'+T' = H(M') + H(M')$$

# Our goal

- ◆ Improve  $O(q^2/2^n)$  term in the FP bound of n-bit-IV randomized HtM
  - so-called “beyond-birthday-bound-security”
- ◆ ...without expanding randomness! (longer IV is practically undesirable; comm. overhead, more random source, etc. )



# Previous solutions

## ◆ Long-IV solutions (outside our scope)

- Naïve  $2n$ -bit rand. HtM

- ✓ Use  $2n$ -bit randomness,  $2n$ -bit-input PRF

- MACRX [BGK99]

- ✓ Use  $3n$ -bit randomness,  $n$ -bit-input PRF

## ◆ $n$ -bit-IV solution (our scope)

- RMAC/FRMAC [JJV02] [JL04]

- ✓ Use  $n$ -bit randomness,  $n$ -bit blockcipher (nice)

- ✓ **BUT** proof needs the ideal-cipher model (dangerous)

# Our contributions

## ◆ Two simple proposals

### ◆ RWMAC

- Use  $n$ -bit randomness and  $2n$ -bit-input PRF

### ◆ Enhanced Hash-then-Mask (Main contribution)

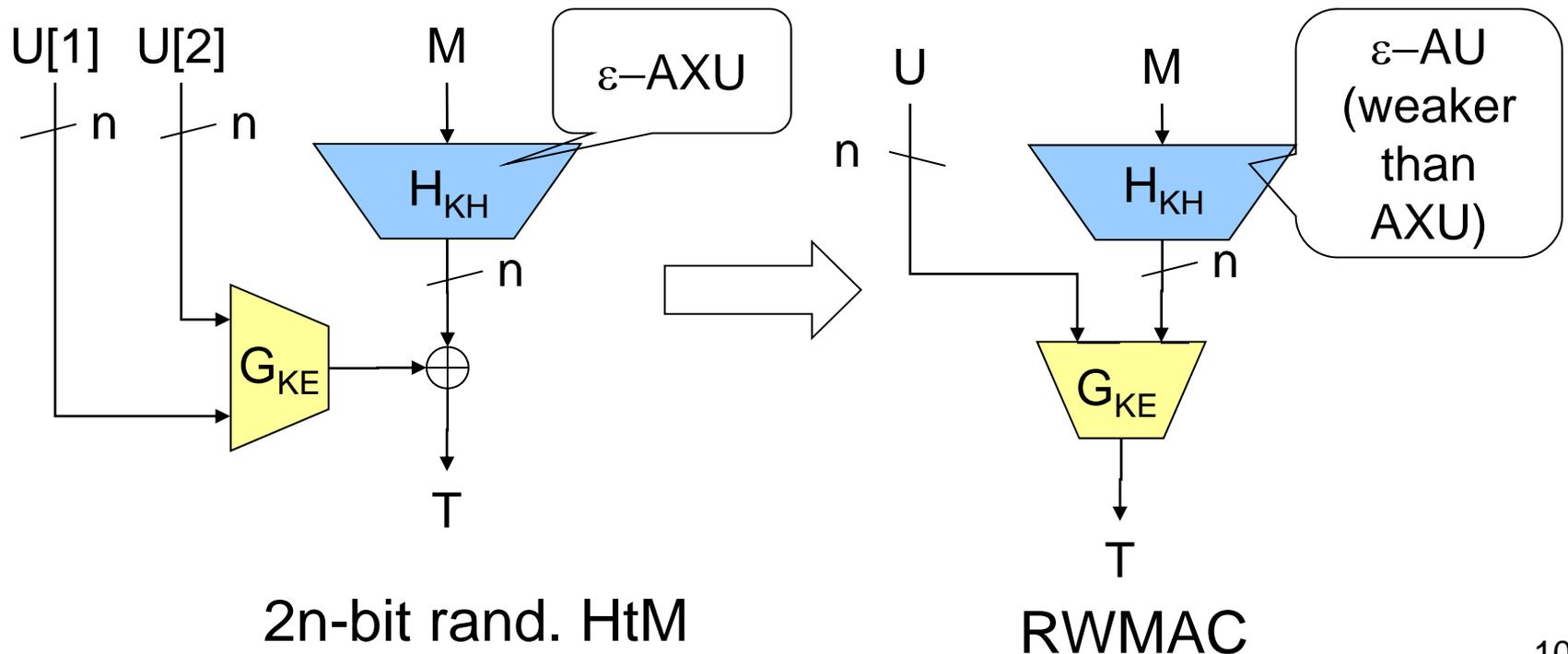
- Use  $n$ -bit randomness and  $n$ -bit-input PRF
- Very efficient : one additional PRF call to  $n$ -bit rand.  
HtM

### ◆ Blockcipher modes based on EHtM

- Provably secure if blockcipher is a PRP (standard assumption)
- Good alternatives to RMAC

# First step : modify 2n-bit rand. HtM

- ◆ Encrypt  $H_{KH}(M)$  and  $U$  together with 2n-bit-input PRF,  $G_{KE}$ 
  - using  $\varepsilon$ -AU hash (coll. prob. is at most  $\varepsilon$ )
- ◆ Result is RWMAC, a rand. version of stateful MAC called WMAC [BC09]



# Why beyond birthday bound ?

- ◆ Unless  $U$  and  $S=H_{KH}(M)$  collide together, tags are perfectly random (secure)
  - $(U,S)$ -collision prob. for two distinct messages is  $\varepsilon / 2^n$ 
    - ✓ Note: for the same messages  $U$ -collision does not help

## ◆ Hence we obtain the security bound:

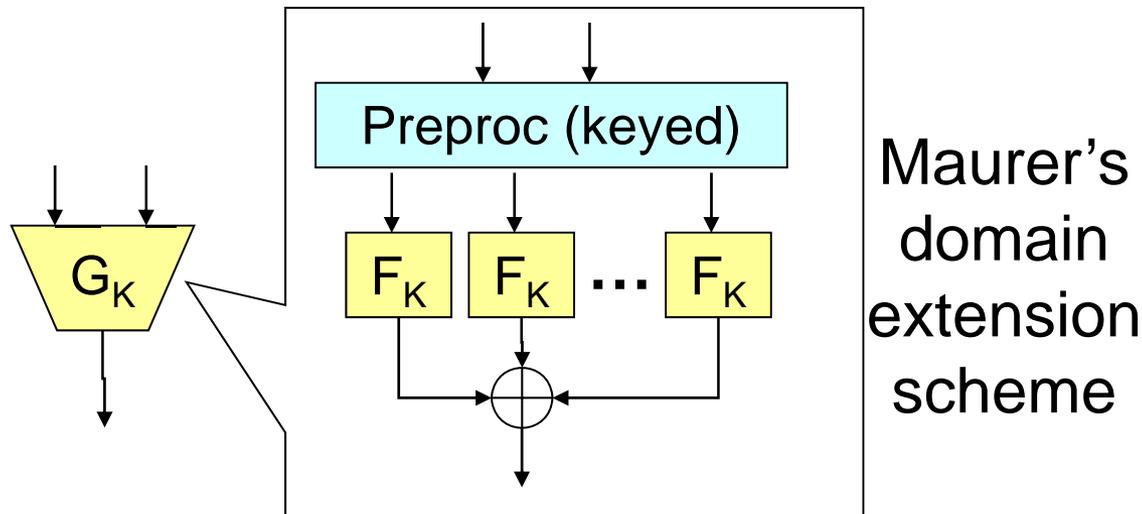
$$\text{FP}_{\text{RWMAC}[H,G]}(q, q_v, \ell) = q^2 \frac{\varepsilon(\ell)}{2^{n+1}} + q_v \left( 2(n-1)\varepsilon(\ell) + \frac{1}{2^\pi} \right).$$

(w/ final tag truncation to  $\pi$  bits)

- If  $\pi = n$  and  $\varepsilon \ll 2^{-n}$ , it is about  $q^2/2^{2n} + q_v/2^n$

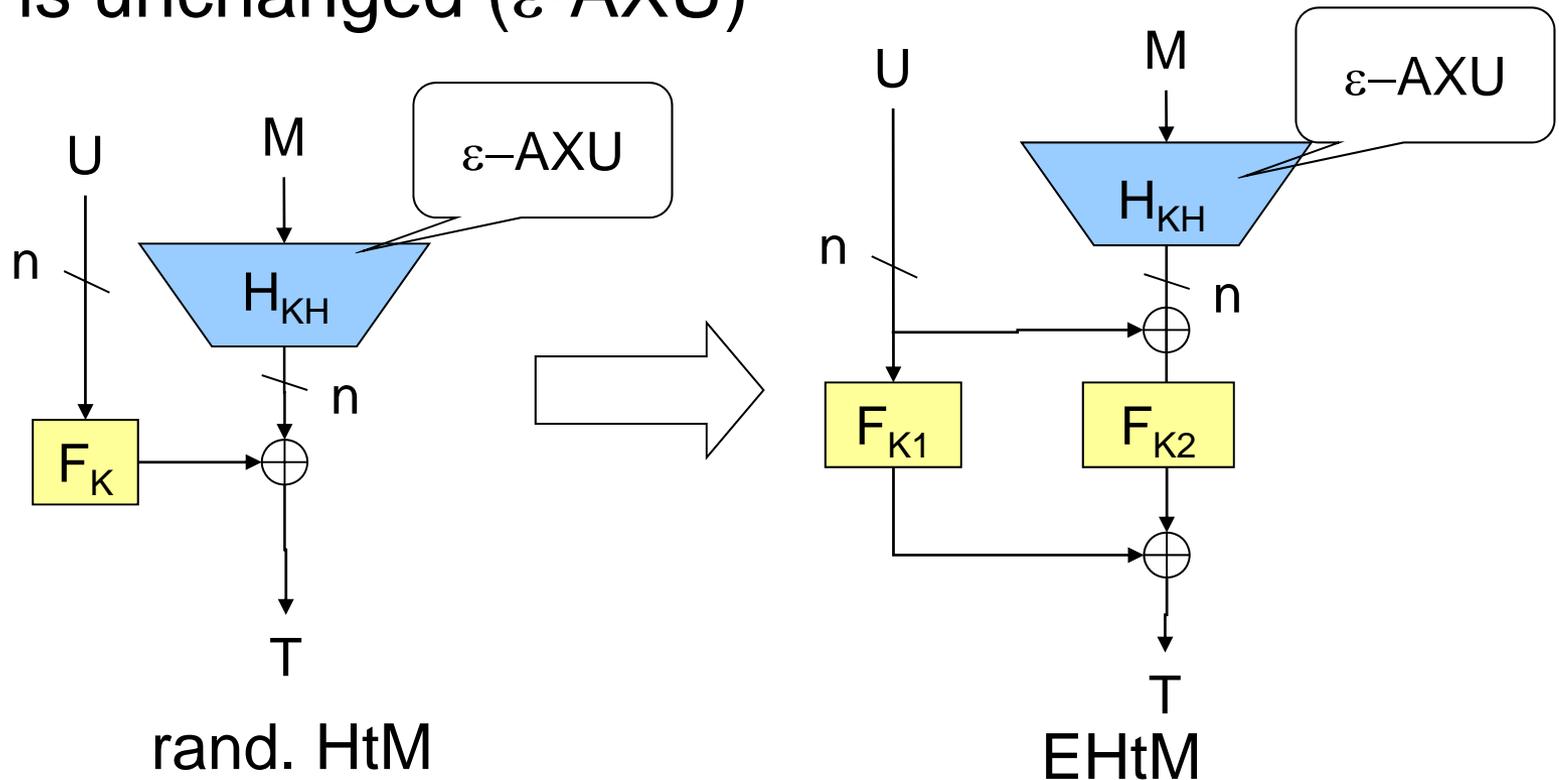
# Next step: remove $2n$ -bit-input PRF

- ◆ Naïve approach : RWMAC + some PRF domain extension w/ *beyond-birthday-bound-security*
  - known scheme of Maurer [M02] is not that efficient
- ◆ Idea :  $G$ 's inputs of RWMAC are not arbitrarily chosen, thus full-fledged PRF might not be needed
- ◆ ... but how?



# Enhanced Hash-then-Mask (EHtM)

- ◆ We insert one additional (independently-keyed)  $n$ -bit PRF before masking w/ a simple preproc.  $(x,y) \rightarrow (x, x+y)$
- ◆  $H$  is unchanged ( $\epsilon$ -AXU)



# Security bound of EHtM

◆ The bound is :

$$\text{FP}_{\text{EHtM}[H, F_1, F_2]}(q, q_v, \ell) \leq \frac{q^3}{6} \left( \frac{\epsilon(\ell)}{2^n} + \frac{1}{2^{3n}} \right) + q_v \left( 4\epsilon(\ell) + \frac{1}{2^\pi} \right)$$

(w/ final tag truncation to  $\pi$  bits)

◆ If  $\pi = n$  and  $\epsilon \approx 2^{-n}$ , the bound is about

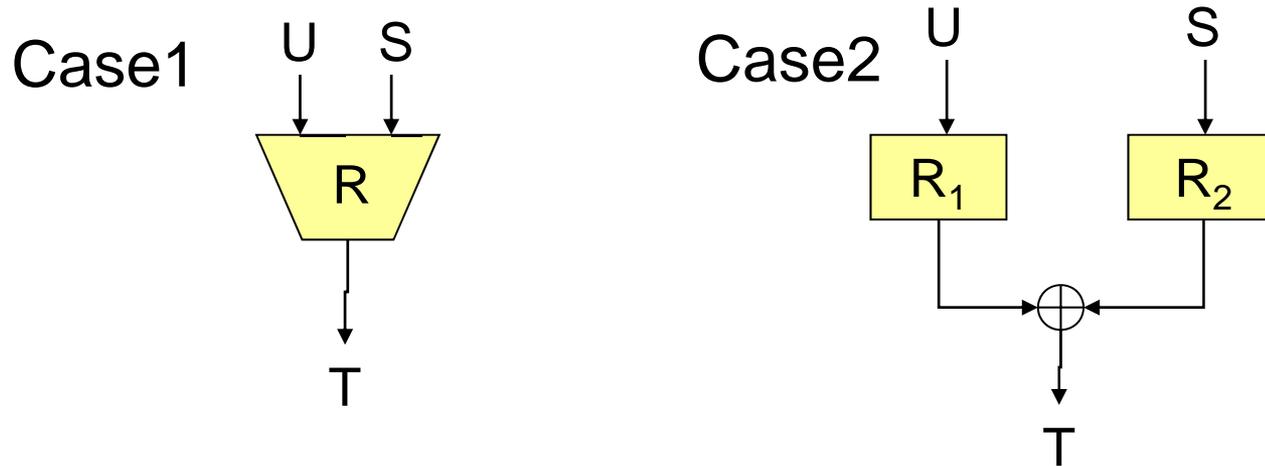
$$q^3/2^{2n} + q_v/2^n$$

- not as good as RWMAC bound, but still an improvement over HtM's bound  $q^2/2^n + q_v/2^n$

# Proof idea

## ◆ Compare the finalizations of RWMAC and EHtM

- If **BAD** =  $[ U_i=U_j \neq U_k, S_i \neq S_j = S_k ]$  for some distinct  $(i,j,k)$  occurs, the difference between two cases is detectable,
- as output of Case2 for input  $(U_k, S_i)$  is predictable  $(T_i+T_j+T_k)$ , while Case1's output for  $(U_k, S_i)$  is random



Note: similar observation was seen in MACRX and Maurer's PRF domain extension

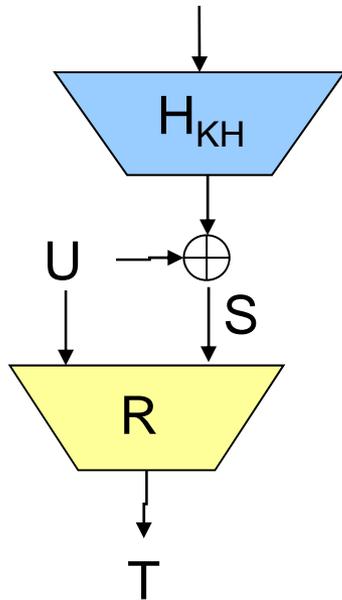
# Proof idea (contd.)

## ◆ Add $\varepsilon$ -AXU hash function to both cases

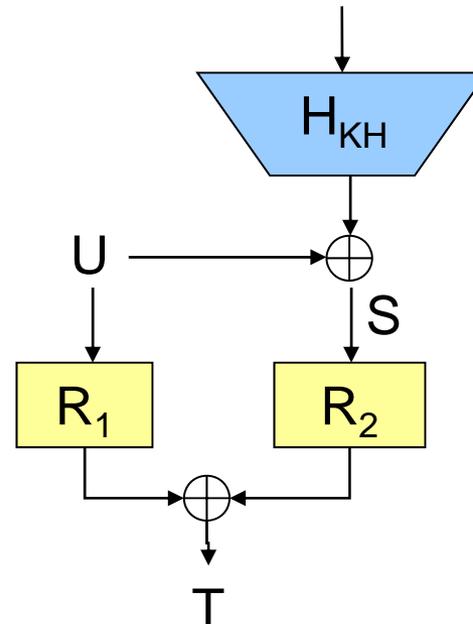
- Now BAD occurs at most prob.  $\varepsilon / 2^n$  for any  $(i,j,k)$ , (both under EHtM and RWMAC) thus the difference is detectable w/ probability  $O(q^3 \varepsilon / 2^n)$
- If BAD does not occur FP of EHtM is the same as that of mod. RWMAC, which is easy to derive (the same as RWMAC)

## ◆ Details are more complicated ...

modified  
RWMAC

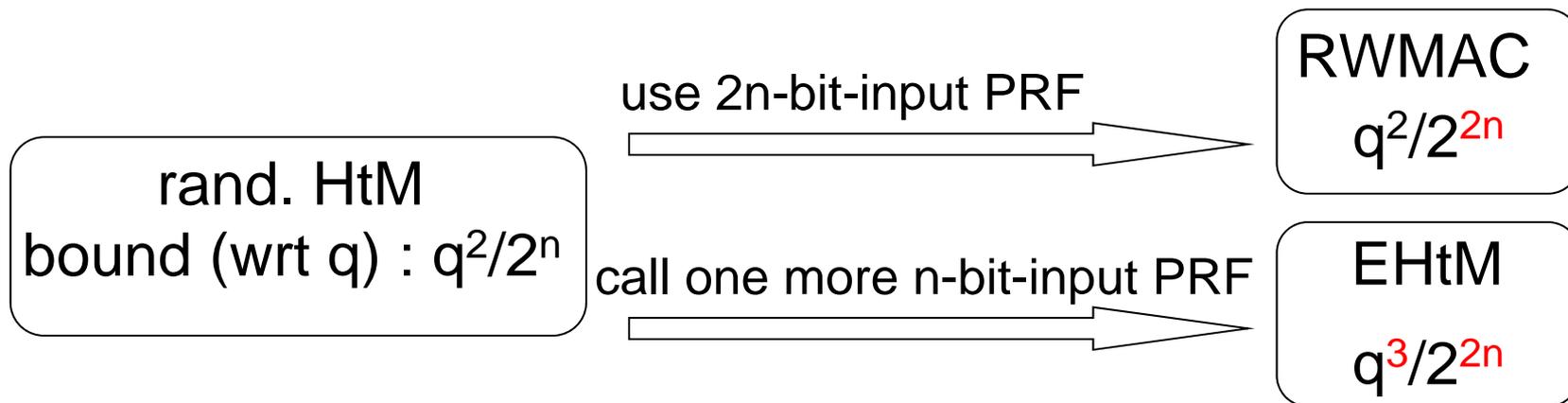


EHtM



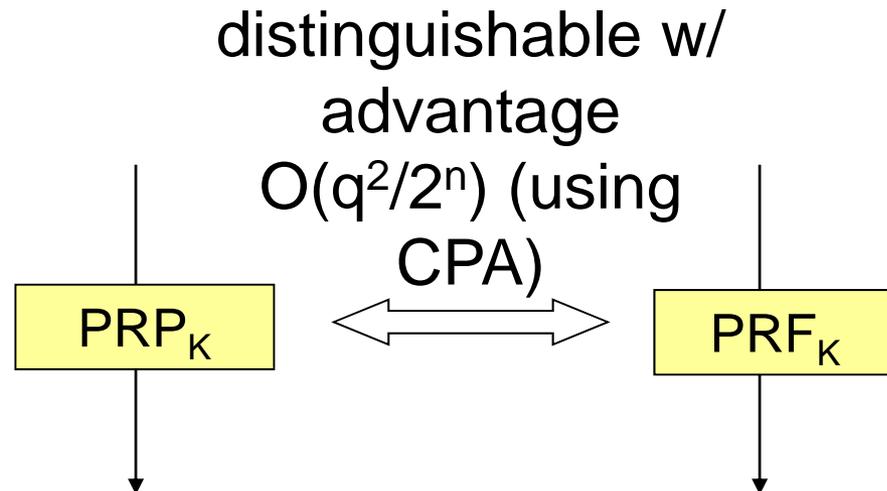
# Quick summary

- ◆ Roughly, the result can be summarized as;

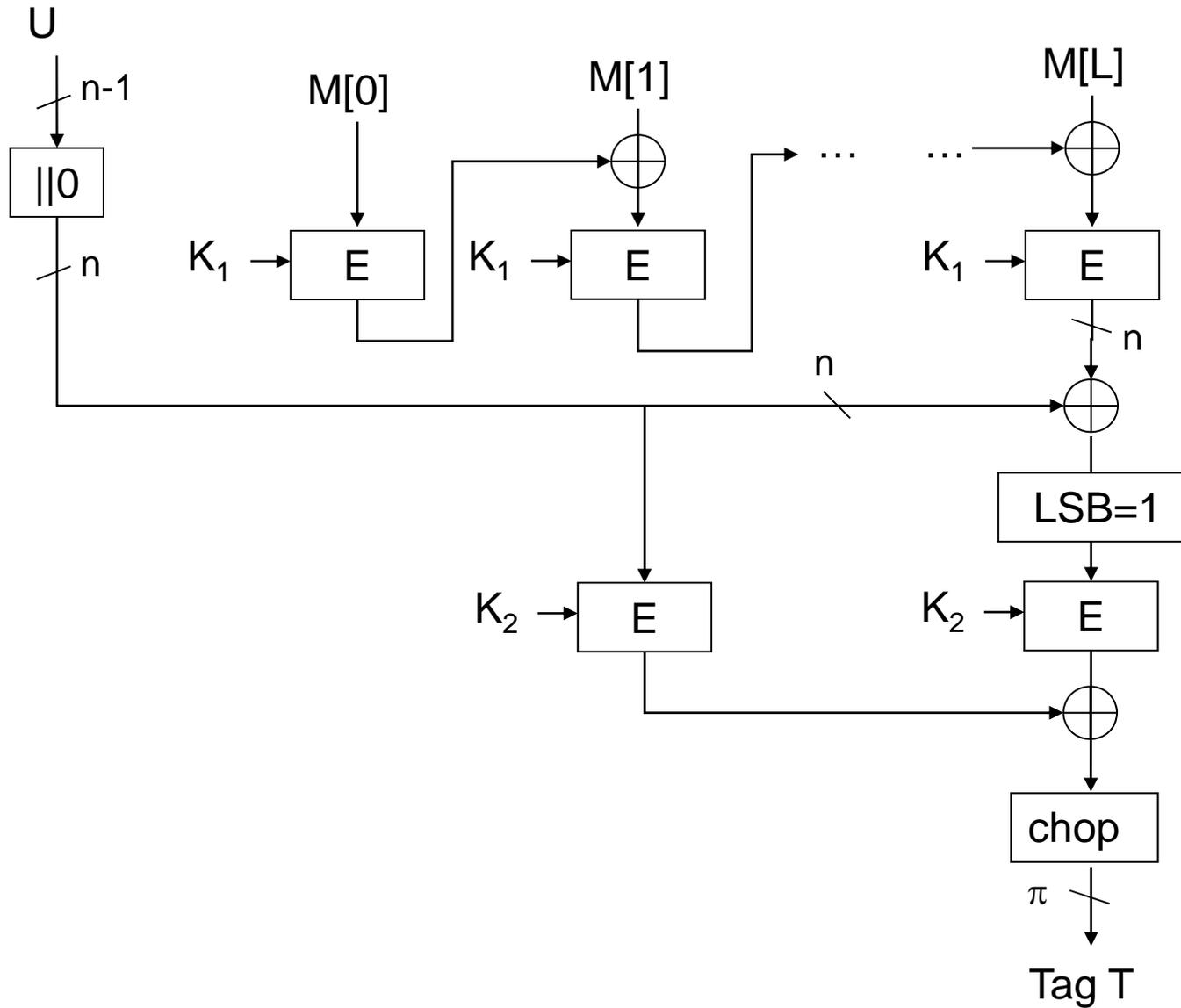


# Blockcipher modes

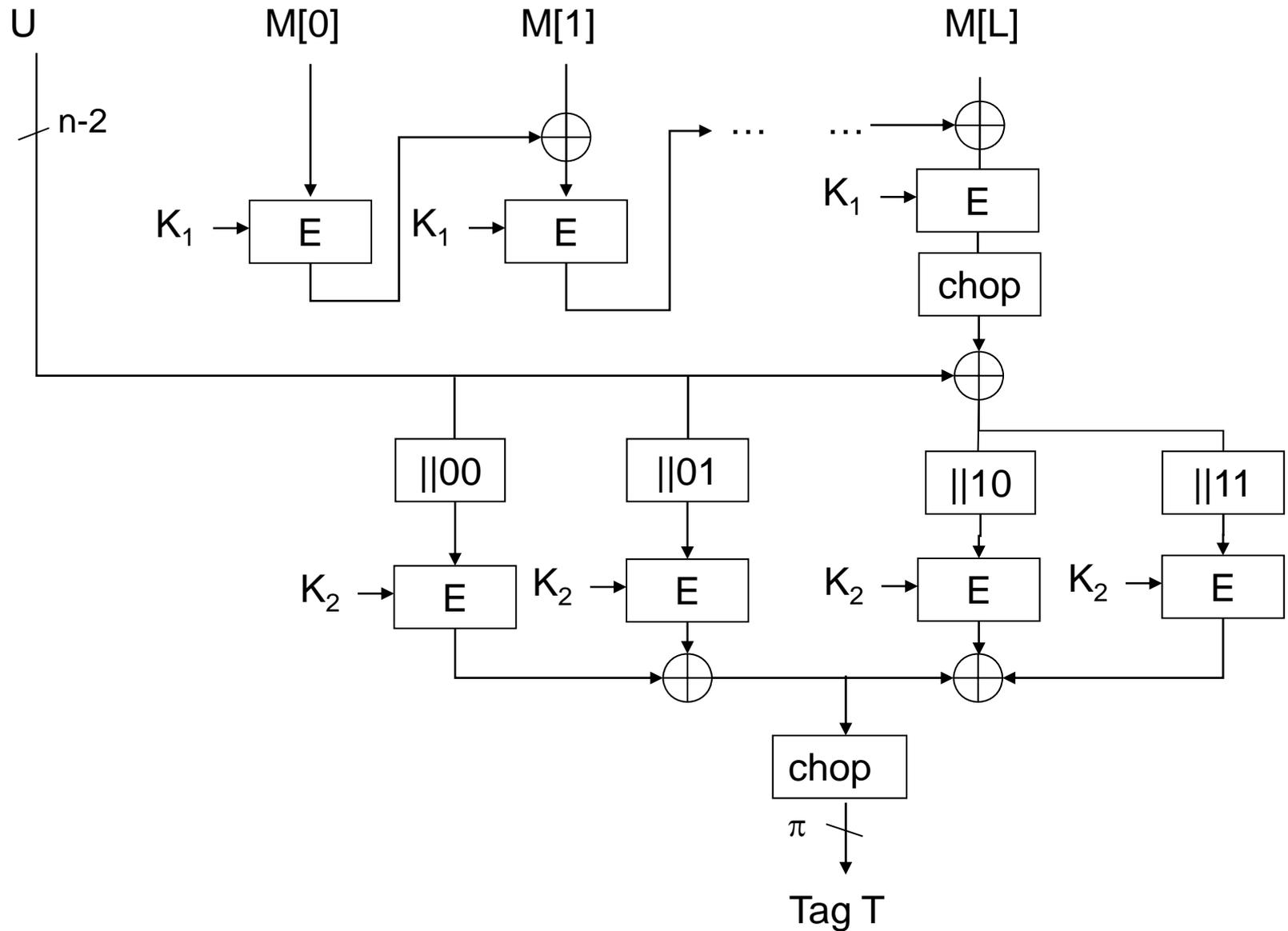
- ◆ Next, we try to instantiate EHM w/ a blockcipher (which is assumed to be a PRP)
- ◆ PRP-based finalizations needed
- ◆ Main obstacle: PRP-PRF switching lemma will bring  $O(q^2/2^n)$ -security degradation



# A CBC-based Mode: MAC-R1



# An Alternative Mode: MAC-R2



# Proofs of MAC-R1 and R2

- ◆ Just a combination of previous results
  - CBC-MAC collision prob. [BPR05] and differential prob. [MM07]
  - For R1, Bernstein's lemma [B05] instead of switching lemma
    - ✓ gives an improved *unpredictability* (but not indistinguishability) ; only applicable to FP evaluation
  - For R2, Lucks's TWIN construction [L00]
    - ✓ taking the sum of two PRP distinct inputs yield a PRF w/ beyond-birthday-bound-security

# Comparison of MAC modes

- ◆ VERY roughly, MAC-R2 bound is  $(q+q_v)^3/2^{2n}$
- ◆ MAC-R1 bound is something worse (difficult to see from the table)

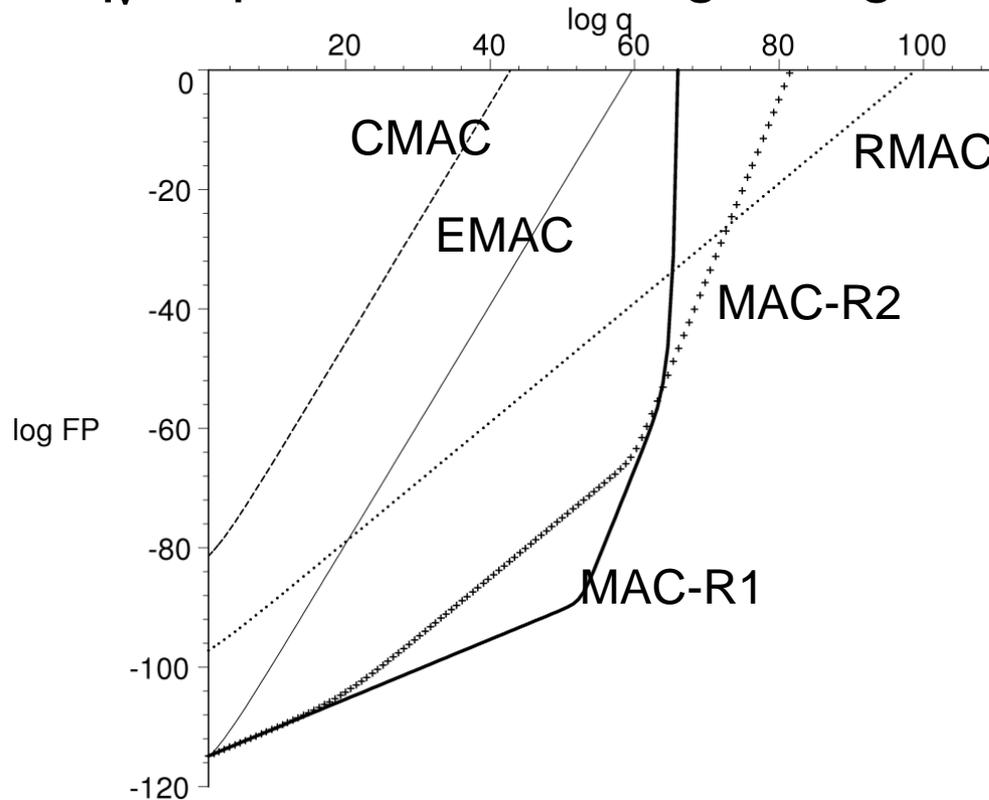
MAC	Key	Rand	Blockcipher Calls	Security Bound (w/o coeff.)
CMAC	1	—	$\lceil  M /n \rceil + 1$ (precomp)	$\sigma^2/2^n$ or $\ell^2(q + q_v)^2/2^n$
EMAC	2	—	$\lceil ( M  + 1)/n \rceil + 1$	$d(\ell)(q + q_v)^2/2^n$
RMAC	2	$n$	$\lceil ( M  + 1)/n \rceil + 1$	$\sigma/2^n$ or $\ell(q + q_v)/2^n$ (with ICM)
MAC-R1	2	$n - 1$	$\lceil ( M  + 1)/n \rceil + 2$	$(d(\ell)q^3/2^{2n} + d(\ell)q_v/2^n) \cdot \delta(2q + 2q_v)$
MAC-R2	2	$n - 2$	$\lceil ( M  + 1)/n \rceil + 4$	$(d(\ell)q^3 + q_v^3)/2^{2n} + (q + d(\ell)q_v)/2^n$

$$\left( \begin{array}{l} \sigma = \text{total message blocks} \\ \text{tag length is } n \text{ bits} \end{array} \right) \quad \left( \delta(a) = \left( 1 - \frac{a-1}{2^n} \right)^{-\frac{a}{2}}, d(\ell) \approx \log \ell \right)$$

note: CMAC bound was improved to  $O(\sigma q/2^n)$  by Nandi

# A graphical bound comparison

$n=128$ ,  $q_v = q^{1/2}$ , fixed message length  $\ell = 2^{20}$



- ◆ MAC-R1 bound quickly reaches 1 after  $2^{64}$
- ◆ R1, R2 are even better than RMAC for a certain range
  - due to the difference in the shapes of  $q/2^n$  (RMAC) and  $q^3/2^{2n}$  (ours)

# A numerical comparison

- ◆ Let  $2^{-\gamma}$  be the maximum acceptable FP
- ◆ We compute the maximum amount of data processed by one key
  - When  $n=64$ , R1 and R2 can process order of terabytes

MAC	$n = 128, \gamma = 20, \ell = 2^{20}$	$n = 64, \gamma = 20, \ell = 2^{10}$
CMAC	125.46 Pbyte	14.60 Mbyte
EMAC	$10^{7.15}$ Pbyte	3.25 Gbyte
RMAC	$10^{15.97}$ Pbyte	512.94 Gbyte
MAC-R1	$10^{11.97}$ Pbyte	40.41 Tbyte
MAC-R2	$10^{14.77}$ Pbyte	65.65 Tbyte

# Conclusion

- ◆ Two randomized MAC schemes w/ beyond-birthday-bound-security wrt IV length
  - RWMAC :  $n$ -bit randomness,  $2n$ -bit-input PRF
  - EHtM :  $n$ -bit randomness,  $n$ -bit-input PRF, very efficient (only one add. PRF call from HtM)
- ◆ Blockcipher modes based on EHtM
  - Secure, efficient MACs using 64-bit blockciphers

Thank you!